

03/23/01  
jc960 U.S. PTO

03-26-01

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Attorney Docket No: DOCM-002/01US

PATENT

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Date of Deposit:

23 Mar 2001

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Date:

23 March 2001

By:

Vladimir Skliba

Vladimir Skliba

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

### NEW PATENT APPLICATION TRANSMITTAL

1. Transmitted herewith for filing is a utility patent application:
  - a. title: METHOD AND APPARATUS FOR GENERATING METADATA FOR A DOCUMENT
  - b. inventors: Victor SPIVAK, Alex RANKOV, Howard I-Hui SHAO, Razmik ABNOUS, and Matthew Raymond SHANAHAN
2. This application is a provisional conversion application of U.S. Provisional Application Serial No. 60/192,236, filed March 27, 2000.
3. Enclosed are:
  - a. ☒ (30) pages of specification
  - b. ☒ (12) sheets of informal drawings
  - c. ☒ Executed Declaration
  - d. ☒ Executed Power to Prosecute by Assignee and Statement Under 37 CFR §3.73(b)
  - e. ☒ Executed Assignment and Recordation Form Cover Sheet

- f. ☐ Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- g. ☐ Certified copy of application number(s) filed .
- h. ☐ Information Disclosure Statement.
- i. ☐ Preliminary Amendment.
- j. ☐ Petition for Expedited Foreign Filing License.
- k. ☐ Declaration of Availability under MPEP 2404
- l. ☐ Appointment of Power of Attorney.
- m. ☒ Postcard.

4. The fees has been calculated as shown below:

CLAIMS AFTER ENTRY OF ANY AMENDMENTS, LESS ANY CANCELLED CLAIMS

FOR:	Claims Filed			Extra Claims <sup>1</sup>	Small Entity Rate Fee		Other Than a Small Entity Rate Fee		Total Filing Fee
Basic Fee					\$355		\$710		\$710.00
Total Claims	20	-20=	0	\$9		\$18		\$0.00	
Independent Claims	3	-3=	0	\$40		\$80		\$0.00	
Multiple Dependent Claims Presented				\$135		\$270		\$0.00	
TOTAL									\$710.00

<sup>1</sup> If difference is negative, enter "0".

☐ Petition for Expedited Foreign Filing License (\$130.00) .....\$0.00

☒ Other fees:

Recording of Assignment .....\$40.00

**TOTAL FEES: .....\$750.00**

☒ Check number **14043** for the amount of the above indicated TOTAL FEES is attached.

☐ Please charge Deposit Account No. 03-3117 in the amount of \$0.00.

☐ No fee is required.

5. An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, or credit any overpayment to Deposit Account No. 03-3117:

☒ Any national application filing fees under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ Any extension fee under 37 CFR 1.136.

6. Please direct all correspondence concerning this application to:

COOLEY GODWARD LLP

Attention: Patent Group

Five Palo Alto Square

3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000

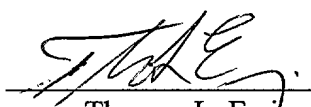
Fax: (650) 857-0663

CUSTOMER NUMBER: **23419**

Respectfully submitted,  
COOLEY GODWARD LLP

Dated: 23 March 2009

By: \_\_\_\_\_

  
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TLE/CZL:dm

Attorney Docket No.: DOCM-002/01US

STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicant/Patent Owner: Documentum, Inc.Application No./Patent No.: Not yet assigned Filed/Issue Date: HerewithEntitled: METHOD AND APPARATUS FOR GENERATING METADATA FOR A DOCUMENTDocumentum, Inc.  
(Name of Assignee)a, Corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

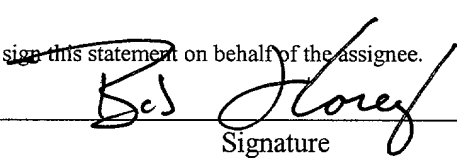
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 C.F.R. Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: March 21, 2001
  
Signature

Bob L. Corey  
Typed or printed name

EVP and CFO  
Title